

To: Joint Steering Committee for Development of RDA
From: Kathy Glennan, ALA Representative
Subject: Expand the scope of RDA 2.17.5, *Note on Numbering of Serials*, and 2.17.11, *Note on Series Statement*

Abstract

Expand the scope of RDA 2.17.5, *Note on Numbering of Serials* and RDA 2.17.11, *Note on Series Statement* by adding a new sub-instruction for “other information relating to ...” these two elements. Modify related Glossary definitions.

Justification

Several instructions in RDA 2.17 contain an ability to record “other information relating to” a particular element. These include title, statement of responsibility, and edition statement. However, the scope is more restrictive for some of the other instructions in RDA 2.17.

The current scope for RDA 2.17.5, *Note on Numbering of Serials*, is limited to the actual numbering or the time period involved; this sub-instruction does not encompass making a note about any other details relating to the numbering, such as where it was found. Similarly, the current scope of RDA 2.17.11, *Note on Series Statement*, restricts the use of this element to “complex series statements, incorrect numbering within series, or changes in series statements.” Thus, this instruction does not include providing information about the source of the series statement. In both cases, however, catalogers do make such notes, even though RDA does not contain supporting instructions.

ALA’s proposal addresses these problems by broadening 2.17.5 and 2.17.11 to include a sub-instruction for “other information relating to...”, modeled after 2.17.4.4, *Other Information Relating to an Edition Statement*. In the case of 2.17.11, this means inserting the new instruction at 2.17.11.5 and renumbering the final sub-instruction. To support these changes, two Glossary definitions need to be expanded: *note on numbering of serials* and *note on series statement*.

Finally, there are two additional Glossary definitions that would benefit from the addition of “on” before the final clause: *note on edition statement* and *note on title*.

Issues to be resolved

Should RDA be revised to support a broader scope for 2.17.5, *Note on Numbering of Serials*, and 2.17.11, *Note on Series Statement*?

The proposed order of text in 2.17.11.1 does not quite correspond to the proposed order of the sub-instructions, although this follows the model in 2.17.4. Is this acceptable?

Should the additional changes be made to the Glossary entries for *note on edition statement* and *note on title*?

Impact

The proposed changes should not affect cataloging practice. The RDA references to the current 2.17.11.5 will need to be changed to 2.17.11.6. The RDA Registry will need to be updated based on the changed Glossary definitions.

Summary of proposed changes

Change #1, Expand the scope of 2.17.5:

- Revise 2.17.5.1
- Create a new sub-instruction (2.17.5.6)

Change # 2, Expand the scope of 2.17.11:

- Revise 2.17.11.1
- Create a new sub-instruction (2.17.11.5)
- Renumber existing 2.17.11.5 to 2.17.11.6

Change #3, Update references to renumbered instructions in 2.12.1.6, per Change #2:

- Update reference to current 2.17.11.5.1 in the 1st paragraph of 2.12.1.6.1
- Update reference to current 2.17.11.5.2 in the 2nd paragraph of 2.12.1.6.2

Change #4; Update Glossary to support proposed changes

- Update definition for *note on numbering of serials* to address addition to 2.17.5.1
- Update definition for *note on series statement* to address addition to 2.17.11.1
 - Add “on” before each clause, to mirror the pattern in similar Glossary definitions

Change #5; Revise Glossary to eliminate wording inconsistencies in two definitions:

- Add “on” before the final clause in the Glossary definitions for “*note on edition statement*” and “*note on title*”

Proposal

Change #1, expand the scope of 2.17.5

- Revise 2.17.5.1
- Create a new sub-instruction (2.17.5.6)

Marked-up copy:

2.17.5 Note on Numbering of Serials

2.17.5.1 Scope

A **note on numbering of serials**▼ is a note providing information on:
the numbering of the first and/or last issue or part
complex or irregular numbering (including numbering errors)

or

the period covered by a volume, issue, part, etc.

or

other information relating to numbering of serials.

2.17.5.2 Sources of Information

[Unchanged]

2.17.5.3 Numbering of First Issue or Part and/or Last Issue or Part

[Unchanged]

2.17.5.4 Complex or Irregular Numbering

[Unchanged]

2.17.5.5 Period Covered

[Unchanged]

2.17.5.6 Other Information Relating to Numbering of Serials

Make notes on other details relating to numbering of serials, if considered important for identification or access.

EXAMPLE

Numbering of final issue from publisher's website

Clean copy:

2.17.5 Note on Numbering of Serials

2.17.5.1 Scope

A **note on numbering of serials**▼ is a note providing information on:
the numbering of the first and/or last issue or part
complex or irregular numbering (including numbering errors)
the period covered by a volume, issue, part, etc.

or

other information relating to numbering of serials.

2.17.5.2 Sources of Information

[Unchanged]

2.17.5.3 Numbering of First Issue or Part and/or Last Issue or Part

[Unchanged]

2.17.5.4 Complex or Irregular Numbering

[Unchanged]

2.17.5.5 Period Covered

[Unchanged]

2.17.5.6 Other Information Relating to Numbering of Serials

Make notes on other details relating to numbering of serials, if considered important for identification or access.

EXAMPLE

Numbering of final issue from publisher's website

Change #2, expand the scope of 2.17.11

- Revise 2.17.11.1
- Create a new sub-instruction (2.17.11.5)
- Renumber existing 2.17.11.5 to 2.17.11.6

Marked-up copy:

2.17.11 Note on Series Statement

2.17.11.1 Scope

A **note on series statement**▼ is a note providing information on:
complex series statements
incorrect numbering within series

or

changes in series statements-

or

other information relating to a series statement.

2.17.11.2 Sources of Information

[Unchanged]

2.17.11.3 Complex Series Statements

[Unchanged]

2.17.11.4 Incorrect Numbering within Series

[Unchanged]

2.17.11.5 Other Information Relating to a Series Statement

Make notes on other details relating to a series statement, if considered important for identification or access.

EXAMPLE

Series statement from dust jacket

2.17.11.65 Change in Series Statements

Make notes on changes in series statements as appropriate to the mode of issuance of the resource:

- multipart monographs and serials (see [2.17.11.65.1](#))
- integrating resources (see [2.17.11.65.2](#)).

2.17.11.65.1 Multipart Monographs and Serials

Make notes on changes in series information that occur after the first/earliest part of a multipart monograph or serial if:

the changes are not already recorded as part of the series statement (see [2.12.1.6.1](#))

and

the changes are considered important for identification or access.

Alternative

If the changes have been numerous, make a general note.

EXAMPLE

Subtitle of series varies

2.17.11.65.2 Integrating Resources

Make notes on series information no longer present on the current iteration of an integrating resource (see [2.12.1.6.2](#)), if the deletions are considered important for identification or access.

EXAMPLE

Series title, 1991–1996: Client representation workbooks
Current iteration has series title: Special tax topics workbooks

If a series statement is added on a later iteration, make a note about the publication date of that iteration.

EXAMPLE

Series title began 1997
Resource began in 1995 without a series title

Alternative

If the changes have been numerous, make a general note.

Clean copy:

2.17.11 Note on Series Statement

2.17.11.1 Scope

A **note on series statement**▼ is a note providing information on:

- complex series statements
- incorrect numbering within series
- changes in series statements

or

- other information relating to a series statement.

2.17.11.2 Sources of Information

[Unchanged]

2.17.11.3 Complex Series Statements

[Unchanged]

2.17.11.4 Incorrect Numbering within Series

[Unchanged]

2.17.11.5 Other Information Relating to a Series Statement

Make notes on other details relating to a series statement, if considered important for identification or access.

EXAMPLE

Series statement from dust jacket

2.17.11.6 Change in Series Statements

Make notes on changes in series statements as appropriate to the mode of issuance of the resource:

- multipart monographs and serials (see [2.17.11.6.1](#))
- integrating resources (see [2.17.11.6.2](#)).

2.17.11.6.1 Multipart Monographs and Serials

Make notes on changes in series information that occur after the first/earliest part of a multipart monograph or serial if:

- the changes are not already recorded as part of the series statement (see [2.12.1.6.1](#))

and

- the changes are considered important for identification or access.

Alternative

If the changes have been numerous, make a general note.

EXAMPLE

Subtitle of series varies

2.17.11.6.2 Integrating Resources

Make notes on series information no longer present on the current iteration of an integrating resource (see 2.12.1.6.2), if the deletions are considered important for identification or access.

EXAMPLE

Series title, 1991–1996: Client representation workbooks

Current iteration has series title: Special tax topics workbooks

If a series statement is added on a later iteration, make a note about the publication date of that iteration.

EXAMPLE

Series title began 1997

Resource began in 1995 without a series title

Alternative

If the changes have been numerous, make a general note.

Change #3, Update references to renumbered instructions in 2.12.1.6 – per Change #2

- Update reference to current 2.17.11.5.1 in the 1st paragraph of 2.12.1.6.1
- Update reference to current 2.17.11.5.2 in the 2nd paragraph of 2.12.1.6.2

Marked-up copy

2.12.1.6 Recording Changes in Series Statements

Record a change in series statements as appropriate to the mode of issuance of the resource:
multipart monographs and serials (see 2.12.1.6.1)
integrating resources (see 2.12.1.6.2).

For changes indicating that the resource belongs to more than one series, apply the instructions at 2.12.1.5.

2.12.1.6.1 Multipart Monographs and Serials

Make a note (see 2.17.11.65.1) if:

a series statement is added, deleted, or changed on a subsequent issue or part of a multipart monograph or serial

and

this change cannot be stated clearly in the series statement

and

the change is considered important for identification or access.

2.12.1.6.2 Integrating Resources

Change the series statement to reflect the current iteration of an integrating resource if a series statement is added, deleted, or changed on a subsequent iteration.

Make a note if the change is considered important for identification or access (see [2.17.11.65.2](#)).

Clean copy

2.12.1.6 Recording Changes in Series Statements

Record a change in series statements as appropriate to the mode of issuance of the resource:
multipart monographs and serials (see [2.12.1.6.1](#))
integrating resources (see [2.12.1.6.2](#)).

For changes indicating that the resource belongs to more than one series, apply the instructions at [2.12.1.5](#).

2.12.1.6.1 Multipart Monographs and Serials

Make a note (see [2.17.11.6.1](#)) if:

a series statement is added, deleted, or changed on a subsequent issue or part of a multipart monograph or serial

and

this change cannot be stated clearly in the series statement

and

the change is considered important for identification or access.

2.12.1.6.2 Integrating Resources

Change the series statement to reflect the current iteration of an integrating resource if a series statement is added, deleted, or changed on a subsequent iteration.

Make a note if the change is considered important for identification or access (see [2.17.11.6.2](#)).

Change #4, Update Glossary to support proposed changes

- Update definition for *note on numbering of serials* to address addition to 2.17.5.1
- Update definition for *note on series statement* to address addition to 2.17.11.1
 - Add “on” before each clause, to mirror the pattern in similar Glossary definitions

Marked-up copy

note on numbering of serials

A note providing information on the numbering of the first and/or last issue or part, on complex or irregular numbering (including numbering errors), ~~or~~ on the period covered by a volume, issue, part, etc., or on other information relating to numbering of serials.

note on series statement

A note providing information on complex series statements, on incorrect numbering within series, ~~or~~ on changes in series statements, or on other information relating to a series statement.

Clean copy

note on numbering of serials

A note providing information on the numbering of the first and/or last issue or part, on complex or irregular numbering (including numbering errors), on the period covered by a volume, issue, part, etc., or on other information relating to numbering of serials.

note on series statement

A note providing information on complex series statements, on incorrect numbering within series, on changes in series statements, or on other information relating to a series statement.

Change #5, Revise Glossary to eliminate wording inconsistencies in two definitions

- Add “on” before the final clause in the Glossary definitions for “*note on edition statement*” and “*note on title*”

Marked-up copy only (no clean copy provided)

note on edition statement

A note providing information on the source of an edition statement, on edition statements relating to issues, parts, etc., on changes in edition statements, or on other information relating to an edition statement.

note on title

A note providing information on the source from which a title was taken, the date the title was viewed, variations in titles, inaccuracies, deletions, etc., or on other information relating to a title.